

STRATEGY NAME

Please complete a separate form for each Strategy, Fund or Model for which you submit data.

DESCRIPTION

Please provide details of this investment strategy and its objectives.

CURRENCIES

Please indicate the currency of the strategy. You may tick more than one if you are submitting data for multiple currencies.

GBP

USD

EUR

MANDATE

Please enter a name and details of each mandate you run under this strategy and indicate which risk category it should be mapped to.

Name	Description (optional)	MPI Risk Category

INVESTMENT SPECIALISATIONS

Please indicate all relevant attributes of this strategy.

Multi-Asset	<i>A strategy that invests across a range of asset classes.</i>
Fixed Income	<i>A strategy that invests in fixed income securities or funds only.</i>
Equity	<i>A strategy that invests in listed equities or equity funds only.</i>
Funds Based	<i>A strategy that invests into funds rather than direct equities or bonds</i>
Direct	<i>A strategy that invests predominately into direct equity/bonds rather than funds.</i>
AIM	<i>A strategy that invests in exclusively in direct AIM companies.</i>
Passive	<i>A strategy that invests exclusively into passive funds or ETFs.</i>
Quant	<i>A strategy that uses a systematic trading approach such as value / momentum.</i>
Income	<i>A strategy that aims to generate a higher level of income through dividends and/or interest compared to an equivalent broad market index.</i>
Absolute Return	<i>A strategy that aims to avoid negative real returns over the short term through the use of derivatives or other trading strategies.</i>
Ethical	<i>A strategy that screens out investments on ethical grounds (e.g. no tobacco, weapons etc.).</i>
ESG	<i>A strategy where the underlying assets are actively screened and scored based on Environment, Social and Governance (ESG) criteria and where the score is periodically reported to clients invested in the strategy.</i>
Impact	<i>An Strategy that only invests in companies (either directly or indirectly) that aim to generate a positive, measurable social and environmental impact alongside a financial return.</i>
Sharia	<i>A strategy that complies with the requirements defined under Sharia Law and where investments must be certified by experts, generally through a panel or board comprised of Sharia scholars</i>
Bespoke	<i>A strategy which follows a defined model or house view but were a client portfolio can be tailored to specific investment requirements or restrictions.</i>
Centralised	<i>A strategy offered direct to clients where all client portfolios are traded in line with a central model. Clients can be assured that the performance of all portfolios at a given level of risk are identical.</i>
MPS	<i>A centralised investment process as above but which is made available via one or more online advisor platforms.</i>
Fund	<i>A collective investment scheme such as an OEIC or SICAV</i>